Headline: List of securities which fulfilled the market surveillance criteria

Security Symbol: ASAP, ECF, GL, MAI, SET

Announcement Details

Level 1 : Cash Balance						
Security	Symbol	Start date	End date			
Warrant of GROUP LEASE PUBLIC COMPANY LIMITED No. 4	GL-W4	20-Nov-2017	29-Dec-2017			
Extended Period						
SYNERGETIC AUTO PERFORMANCE PUBLIC COMPANY LIMITED	ASAP	20-Nov-2017	08-Dec-2017			
EAST COAST FURNITECH PUBLIC COMPANY LIMITED	ECF	20-Nov-2017	08-Dec-2017			
Warrant of EAST COAST FURNITECH PUBLIC COMPANY LIMITED No. 2	ECF-W2	20-Nov-2017	08-Dec-2017			
Warrant of EAST COAST FURNITECH PUBLIC COMPANY LIMITED No. 3	ECF-W3	20-Nov-2017	08-Dec-2017			
Level 2 : Excluded from credit limit and Cash Balance						
Security	Symbol	Start date	End date			
-	-	-	-			
Extended Period	-	-	-			
	-	-	-			
Extended Period	-		-			
Extended Period -	-		- End date			
Extended Period - Level 3 : Prohibit Net settlement, Excluded from cre	- dit limit and Ca	sh Balance				
Extended Period - Level 3 : Prohibit Net settlement, Excluded from cre Security	- dit limit and Ca Symbol	sh Balance				

Remark:

- 1. Cash balance means each member must ensure that its customers pay the full amount in cash prior to trading.
- 2. Excluded from credit limit means the member must not use the security as collateral in the calculation of the customer's credit line in all types of account.
- 3. Prohibit Net Settlement means the member must not offset the trading value of buy amount and sell amount of a certain security on a given day. (The amount received from sale of the particular security will be credited back on the following day.)

Please note that the main factors used as criteria for the restricted securities above are the turnover ratio,

the trading value and the price not corresponding with the fundamental factors such as P/E ratio, etc.