

Headline: List of securities which fulfilled the market surveillance criteria

Security Symbol: ASAP, ECF, FER, GCAP, HYDRO, MAI, SET

Announcement Details

Level 1 : Cash Balance

Security	Symbol	Start date	End date
G CAPITAL PUBLIC COMPANY LIMITED	GCAP	30-Oct-2017	08-Dec-2017
HYDROTEK PUBLIC COMPANY LIMITED	HYDRO	30-Oct-2017	08-Dec-2017
Extended Period			
SYNERGETIC AUTO PERFORMANCE PUBLIC COMPANY LIMITED	ASAP	30-Oct-2017	17-Nov-2017
EAST COAST FURNITECH PUBLIC COMPANY LIMITED	ECF	30-Oct-2017	17-Nov-2017
FERRUM PUBLIC COMPANY LIMITED	FER	30-Oct-2017	17-Nov-2017
Warrant of FERRUM PUBLIC COMPANY LIMITED No. 1	FER-W1	30-Oct-2017	17-Nov-2017
Warrant of FERRUM PUBLIC COMPANY LIMITED No. 2	FER-W2	30-Oct-2017	17-Nov-2017
Warrant of FERRUM PUBLIC COMPANY LIMITED No. 3	FER-W3	30-Oct-2017	17-Nov-2017

Level 2 : Excluded from credit limit and Cash Balance

Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-

Level 3 : Prohibit Net settlement, Excluded from credit limit and Cash Balance

Security	Symbol	Start date	End date
-	-	-	-
Extended Period			
-	-	-	-

Remark:

1. Cash balance means each member must ensure that its customers pay the full amount in cash prior to trading.
2. Excluded from credit limit means the member must not use the security as collateral in the calculation of the customer's credit line in all types of account.
3. Prohibit Net Settlement means the member must not offset the trading value of buy amount and sell amount of a certain security on a given day. (The amount received from sale of the particular security will be credited back on the following day.)

Please note that the main factors used as criteria for the restricted securities above are the turnover ratio, the trading value and the price not corresponding with the fundamental factors such as P/E ratio, etc.